

DAC

Attorney's Docket No. P00557/70030 (NPF/DRW)

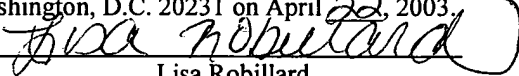
#14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Norman William MacLeod  
Serial No.: 09/581,978 ✓  
Confirmation No.: 6678  
Filing Date: June 20, 2000  
For: MANUFACTURE OF FOOTWEAR  
Examiner: Edmund H. Lee  
Art Unit: 1732

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231 on April 22, 2003.

  
Lisa Robillard

Commissioner For Patents  
Washington, D.C. 20231

Sir:

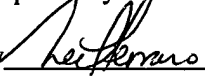
Transmitted herewith are the following documents:

- ☒ [X] Petition to Correct Filing Date under 37 C.F.R. § 1.10(c);
- ☒ [X] Copies of the patent application transmittal and the return receipt postcard filed on June 20, 2000;
- ☒ [X] Copy of the Express Mail label filed on June 20, 2000;
- ☒ [X] Copy of the Filing Receipt; and
- ☒ [X] Return receipt postcard;

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is enclosed. If a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By   
Neil P. Ferraro, Reg. No. 39,188  
Attorneys for Applicant  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Tel. no. (617) 720-3500

Attorney's Docket No.: P00557/70030  
Dated: April 22, 2003

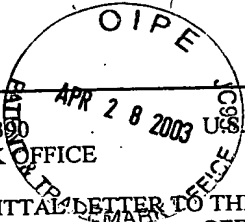
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OFFICE OF PETITIONS

FORM PTO-1390

TRADEMARK OFFICE  
(REV. 1094)

U.S. DEPARTMENT OF COMMERCE PATENT AND

ATTORNEY'S DOCKET NUMBER  
P0557/7030U.S. APPLICATION NO.  
(If known, see 37 CFR 1.5)TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED  
OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.  
PCT/AU98/01070INTERNATIONAL FILING DATE  
22 December 1998 (22.12.98)PRIORITY DATE CLAIMED  
1 July 1999 (01.07.99)TITLE OF INVENTION  
MANUFACTURE OF FOOTWEARAPPLICANT(S) FOR DO/EO/US  
MACLEOD, Norman William

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(C)(5)).

**Items 11. To 16. Below concern document(s) or information included:**

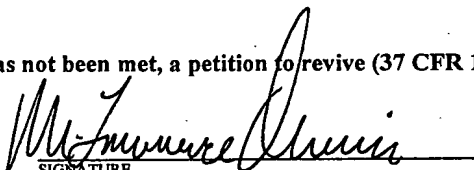
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment (with amended, claims).  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification (submitted as a first Preliminary Amendment).
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:  
Mailed via Express Mailing Label No. EM529190415US  
Published Application PCT/AU98/01070 with Search Report  
Post Card

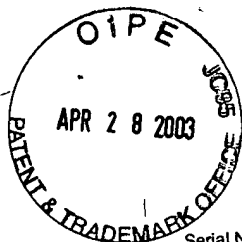
Express Mail Label No. EM529190415US  
MAILING DATE: JUNE 20, 2000

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U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION PCT/AU98/01070		ATTORNEY'S DOCKET NUMBER P0557/7030	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS <small>PTO USE ONLY</small>	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO ..... \$840.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$760.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$970.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$ 840.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 X 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total Claims	20 - = 20		X \$18.00	\$	
Independent Claims	3- = 3	0	X \$78.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$260.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$840.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>SUBTOTAL =</b>				\$420.00	
Processing fee of \$130.00 for furnishing the English translation later than 20 X 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$420.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate coversheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$420.00	
				Amount to be:	
				refunded	\$
				charged	\$
<p>a. A check in the amount of \$ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge by Deposit Account No. 23/2825 In the amount of \$420.00 To cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.</p>					
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:				 SIGNATURE	
Therese A. Hendricks WOLF, GREENFIELD & SACKS, P.C. 600 Atlantic Avenue Boston, Massachusetts 02210				M. Lawrence Oliverio NAME  30,915 REGISTRATION NO.	



EB

File No. 055717030 By: JAH

Serial No. \_\_\_\_\_

Title: manufacture of footwear

Application of: Norman William MacLean

The following, DUE 6-22-2000 on Box PCT, USP10, was received in the PTO Mail Room on the date stamped hereon:

<input checked="" type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)	<input type="checkbox"/> Declaration of Inventor
Express Mail Label No. <u>EM524190415</u>	<input type="checkbox"/> Translation of Annexes to IPR - Total Sheet(s) _____
Form PTO-1390 Transmittal Letter	Sheet(s) of Specifications _____
Check # _____ for \$ _____	Sheet(s) of Claims, # of Claims _____
<input checked="" type="checkbox"/> Int'l Apl in <u>Aug 8 10 70</u> - Total Sheet(s) <u>25</u>	Sheet(s) of Abstract _____
<input type="checkbox"/> As Filed <input checked="" type="checkbox"/> As Published	Sheet(s) of Formal Drawings, Figs. _____
Sheet(s) of Specifications _____	<input type="checkbox"/> Information Disclosure Statement, Form 1449 and
Sheet(s) of Claims, # of Claims _____	References Cited _____
Sheet(s) of Abstract _____	<input checked="" type="checkbox"/> Assignment Document w/Cover Sheet
Sheet(s) of Formal Drawings, Figs. _____	First Preliminary Amendment _____
<input type="checkbox"/> Trans of Int'l Apl into English - Total Sheet(s) _____	<input checked="" type="checkbox"/> Substitute Specification - Total Sheet(s) _____
Sheet(s) of Specifications _____	Sheet(s) of Specifications _____
Sheet(s) of Claims, # of Claims _____	Sheet(s) of Claims, # of Claims _____
Sheet(s) of Abstract _____	Sheet(s) of Abstract _____
Sheet(s) of Formal Drawings, Figs. _____	Sheet(s) of Formal Drawings, Figs. _____
<input type="checkbox"/> Amendments Under Article 19 in _____	<input type="checkbox"/> Verified Statement to Establish Small Entity Status
Sheet(s) of Claims, pp. _____	<input type="checkbox"/> Individual <input type="checkbox"/> Nonprofit <input type="checkbox"/> Small Business Concern
<input type="checkbox"/> Trans of Amendments Under Article 19 into English	
Sheet(s) of Claims, pp. _____	
<input type="checkbox"/> Other _____	

09/581978

526 Rec'd PCT/PTO 20 JUN 2000

MAILED June 26, 2000

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/581,978	<del>08/24/2000</del> 06/20/2000	3728	485	P0557/7030	4	1	1

Therese A Hendricks  
Wolf Greenfield & Sacks  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210-2211

## FILING RECEIPT



\*OC000000005393338\*

Date Mailed: 09/12/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Norman William MacLeod, Preston, AUSTRALIA;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/AU98/01070 12/22/1998

10/31/01

File Folder	<input checked="" type="checkbox"/>
ECB	<input checked="" type="checkbox"/>
Docket Entry	<input checked="" type="checkbox"/>
Docket Cross Off	<input checked="" type="checkbox"/>
Order Copies	<input checked="" type="checkbox"/>
Annuities	<input type="checkbox"/>
Confirmation	<input type="checkbox"/>

Initials

## Foreign Applications

AUSTRALIA PP1053 12/22/1997  
AUSTRALIA PP5317 08/19/1998

DOCKETED  
SEP 21 2000

If Required, Foreign Filing License Granted 09/12/2000

## Title

Manufacture of footwear

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## Preliminary Class

012

APR 30 2003

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Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 09/12/2000

